

# RX-0201 (Archexin)

## A Phase I Trial of RX-0201 (Archexin; akt-1 Antisense) in Patients with An Advanced Cancer

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### ABSTRACT

**Background:** AKT-1, the expression protein product of akt-1 proto-oncogene, plays a vital role in cancer progression by stimulating proliferation and inhibiting apoptosis of cancer cells. RX-0201 (Archexin), a 20-mer oligonucleotide with sequence complementary to akt-1 mRNA, is designed to inhibit the expression of akt-1 within cancer cells in cancer patients (Pts). Non-clinical studies conducted on RX-0201 demonstrated that RX-0201 bears significant *in vitro* and *in vivo* anti-cancer activities with favorable safety.

**Purpose:** The objectives of the current phase I trial were to determine the maximum tolerated dose (MTD) and to establish safety and pharmacokinetic (PK) profiles of RX-0201 in pts with an advanced cancer.

**Method:** RX-0201 was administered to pts with an advanced cancer by up to 2 cycles of continuous infusion; each cycle of infusion lasted for 14 days; the infusion phase was followed by a 7-day rest phase. Rapid dose escalation had been used until at least a grade (G) 2 toxicity was observed, and then a traditional dose escalation followed. Pts entry criteria included Karnofsky Performance Status score  $\geq$  70, advanced cancer, tumor accessible for paired biopsy, and a signed informed consent.

**Results:** 17 cancer pts were enrolled and treated at a dose level ranging from 6 to 315 mg/m<sup>2</sup>/d on D1-14, repeated q 21d.

**Safety:** Compound-related G 3 fatigue was observed in two pts at the 315 mg/m<sup>2</sup>/d dose; three Pts dosed at 250 mg/m<sup>2</sup>/d were not noted with any G3 toxicity. No other significant, compound-related, adverse events were observed in those 17 Pts who participated.

**Pharmacokinetics:** On Day 1, a gradual, dose-proportioned increase in plasma concentration of RX-0201 was noted from 48-315 mg/m<sup>2</sup>/d with respective volume of distribution increased from 70.1 L/m<sup>2</sup> to 116 L/m<sup>2</sup> proportionally; C<sub>max</sub> increased approximately proportionally to dose. On Day 15, an apparent mono-phasic or bi-phasic decline was observed from C<sub>max</sub> (observed at the end of infusion (T<sub>max</sub>)). Clearance of RX-0201 was independent of dose or cycle, with value ranged from 24.2 to 42 L/h.m<sup>2</sup>; mean terminal half-lives at the dose of 250-315 mg/m<sup>2</sup>/d ranged from 1.9-4 hrs. At 250 mg/m<sup>2</sup>/d, the estimated volumes of distribution, the mean C<sub>max</sub>, mean AUC<sub>0-24</sub>, and mean C<sub>24</sub> for both Cycle 1 and Cycle 2 were comparable; which indicates absence of system drug from Cycle 1 at the start of Cycle 2 infusion.

**Conclusion:** MTD of RX-0201 is 315 mg/m<sup>2</sup>/d in Pts with an advanced cancer when the compound is administered in the current continuous infusion regimen. 250 mg/m<sup>2</sup>/d will be used as the dose for the follow-on phase IIa trial. Sponsored by Rexahn Pharmaceutical Inc. (www.Rexahn.com)

### INTRODUCTION

AKT-1 (Archexin), a protein product of akt-1 proto-oncogene, plays a vital role in cancer progression by stimulating proliferation and inhibiting apoptosis of cancer cells. RX-0201, a 20-mer oligonucleotide with sequence complementary to akt-1 mRNA, is designed to inhibit the expression of akt-1 within cancer cells in cancer patients. Non-clinical studies conducted on RX-0201 demonstrated that RX-0201 bears significant *in vitro* and *in vivo* anti-cancer activities with favorable safety.

#### OBJECTIVES:

- To characterize the safety in cancer pts,
- To determine the maximum tolerated dose,
- To recommend Phase II trial dose in patients,
- To establish Pharmacokinetics profile,
- To observe AKT-1 expression in tumor biopsy samples.

### METHODS

#### PHASE I TRIAL DESIGN:

RX-0201 was administered to pts with an advanced cancer for up to 2 cycles (See Fig. 1). Each treatment cycle consisted of a 14-day continuous infusion phase of RX-0201 followed by a 7-day rest period. Phase I trial of RX-0201 is an open-label, single-arm, dose escalation study to determine safety and tolerability and to recommend a Phase II dose level.



#### ELIGIBILITY CRITERIA:

- Historically confirmed diagnosis of solid tumor or lymphoma.
- Standard therapies are either ineffective or not tolerated.
- Measurable or evaluable tumors.
- Karnofsky performance status of  $\leq$  70.

#### ESCALATION STRATEGY:

The initial starting dose was 6 mg/m<sup>2</sup>/d. Doses doubled until the occurrence of  $\geq$  Grade 2 treatment-related toxicity. After the initial  $\geq$  Grade 2 treatment-related toxicity, the dose increased by up to 66% for the next dose level and by up to 33% for subsequent dose levels. (See Table 1)

Escalation	Dose Level	Dose (mg/m <sup>2</sup> /day)
Dose Level 1	6.0	6.0 mg/m <sup>2</sup> /day
		(up to a doubling of the dose until Grade 2 toxicity)
Dose Level X	yy	yy mg/m <sup>2</sup> /day ( $\geq$ Grade 2 toxicity)
Dose Level X1		up to a 66% increase
Dose Level X2		up to a 33% increase
Dose Level X3		up to a 33% increase (until experiencing DLTs)

The dose escalation schedule was followed until the MTD was determined.

**Pharmacokinetics:** Blood samples were collected from each subject into tubes containing heparin at the following time points: **Cycle I:** Pre-dose, 1, 2, 3, 4, 6 hours post infusion on Day 1; on Day 8: prior to end of infusion and 1, 2, 3, 4, and 6 hours on Day 15; and 24 hours post-infusion completion (on Day 16). **Cycle II** (if applicable): Pre-dose on Day 1. Plasma samples generated were store frozen and shipped to analysis site where they were stored frozen before measurement. Measurement of RX-0201 was conducted using a validated LC-MS/MS method. Plasma concentration-time data were subjected to non-compartmental pharmacokinetic evaluation using WinNonlin 1.5 Model-201 (Pharsight Corp).

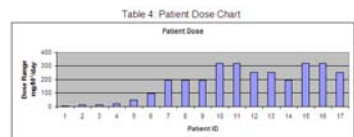
**Immunohistochemistry:** Tumor biopsy samples were collected into neutral-buffered formalin (NBF) during screening. NBF-fixed tumor samples were embedded in paraffin and a 5- $\mu$ m section was made from each sample. Paraffin tissue sections were stain immunohistochemically for AKT-1 with mouse anti-human AKT-1 monoclonal antibody (MAB17751, R&D Systems, Inc., Minneapolis, MN) following the following procedure: deparaffinized in xylene; hydrated from 100% alcohol gradient to water-antigen retrieval (steam); incubated with 3% H<sub>2</sub>O<sub>2</sub>, blocking serum, and primary antibody sequentially; rinsed and incubated with horse anti-mouse HRP (ImmPRESS Universal Antibody Kit, Vector Labs); rinsed and incubated with DAB substrate (Vector Labs); counterstained with hematoxylin; dehydrated through a gradient of alcohol to xylene; and mounted.

### RESULTS

Seventeen patients were successfully enrolled and treated at a dose level of RX-0201 ranging from 6 to 315 mg/m<sup>2</sup>/d on D1-14, repeated q 21d (when applicable). (See Table 2, 3, & 4) Compound-related G3 fatigue was observed in two pts at the 315 mg/m<sup>2</sup>/d dose; three Pts dosed at 250 mg/m<sup>2</sup>/d were not noted with any G3 toxicity. (See Table 5 & 6) No other significant, compound-related, adverse events were observed in those 17 Pts participated.

Median Age (Range)	61 (47-73)
Gender M/F	6/11
Cancer Stage I/II/III/IV	1/9/1/2

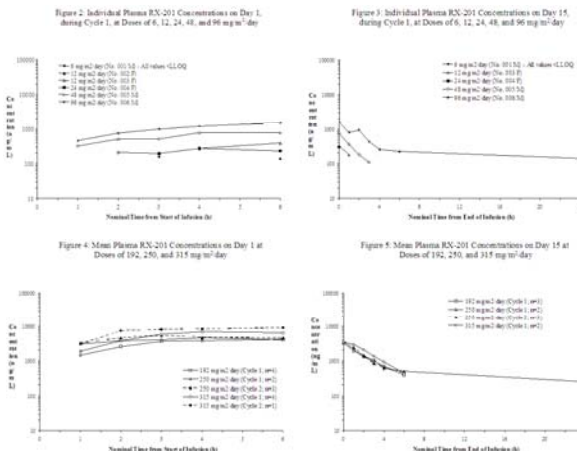
Dose Level (mg/m <sup>2</sup> /d)	Patients Enrolled
6	1
12	2
24	1
48	1
96	1
192	4
250	3
315	4



Observations	Dose group (mg/m <sup>2</sup> /day)				
	6	12	24	48	96
ALCALINE PHOSPHATASE 10X HIGH					2
ALOPECIA		1			2
ALT 5X - CLINICAL CHEM RESULTS					2
ANOREXIA		1			1, 2
ARTHRALGIA		1	1		2
AST CLINICAL CHEM RESULTS					2
BB TEST ON HIGH BB INCREASE					2
CELLS					2
COMPLEMENT FACTOR ELEVATED CHSD					1, 1
COUGH					1
CREATININE (INCREASE)					1
DECREASE IN BREATH SOUNDS					1
DECREASED TASTE (IN MOUTH)					1
DIZZINESS					1
DYSPIREA (RESPIRATORY)					1
DYSPIREA WITH EXERCITION					1
ELEVATED CHSD					2
FATIGUE	2	2	1	1	1, 2, 3
FATIGUE WEARINESS					1
FEVER					1
HEADACHE					1
HEEL PAIN					1
LEUCOCYTOPENIA					1
MUSCLE CRAMPS (MYALGIA)					1
NAUSEA					1, 2
PLATELET COUNT 96 LOW					2
RIGHT SHOULDER PAIN					1
VOIRTING					1

Note: 1=1, 2=2, 3=3

Dose Group (mg/m <sup>2</sup> /d)	Pre	Post
6	90	90
12	90	90
24	90	90
48	100	100
96	90	90
192	90	90
250	90	70
315	90	90

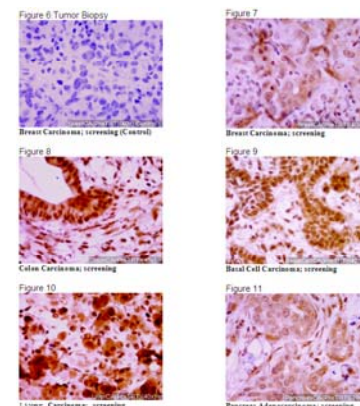


Plasma concentration of RX-0201 at dose of: 6 mg/m<sup>2</sup>/d were <LLOQ; 12 and 24 mg/m<sup>2</sup>/d concentration were insufficient; and 48 to 315 mg/m<sup>2</sup>/d had gradual increase in concentration through 6 hrs post-dose; at 24hrs post-dose, the concentration of RX-0201 reached steady state; and on Day 15, a mono-phasic or bi-phasic decline from C<sub>max</sub> was noted. (See Figs 2-5 and Table 7)

Dose (mg/m <sup>2</sup> /day)	C <sub>max</sub> (ng/mL)	T <sub>max</sub> (h)	AUC <sub>0-24</sub> (ng·h/mL)	CL (L/h·m <sup>2</sup> )	V <sub>d</sub> (L/m <sup>2</sup> )	T <sub>1/2</sub> (h)	C <sub>24</sub> (ng/mL)	C <sub>24</sub> (% of C <sub>max</sub> )
6	<LLOQ	0	0	0	0	0	<LLOQ	<LLOQ
12	32	0	1	211	108	108	108	846
24	240	0	0	192	108	108	108	312
48	710	0	0	840	140	112	713	421
96	1390	0	24	690	1300	212	240	834
192	2170	0	4	750	930	32	717	242
250	3470	0	10	1000	810	1.8	819	35.9
315	5420	0	4	1300	1200	1.1	971	36.0
315	3390	0.2	10	1000	1000	4.0	139	20.1

CL = clearance; C<sub>max</sub> = maximum plasma concentration; C<sub>24</sub> = plasma concentration at 24 hrs; LLOQ = lower limit of quantification; T<sub>max</sub> = time to maximum plasma concentration; V<sub>d</sub> = volume of distribution; AUC = area under the curve; C<sub>24</sub> (% of C<sub>max</sub>) = plasma concentration at 24 hrs as a percentage of C<sub>max</sub>.

Immunohistochemistry analysis results showed AKT-1 was present in cytoplasm and the nucleus of cancer cells. (See Figures 6-11)



### CONCLUSION

- No significant dose-limiting toxicity was noted, except for Grade 3 fatigue, which was noted only at 315 mg/m<sup>2</sup>/day.
- MTD for RX-0201 after up to 2 cycles of continuous RX-0201 infusion was 315 mg/m<sup>2</sup>/day in pts with an advanced cancer; Phase II trial dose was set at 250 mg/m<sup>2</sup>/day and will be dosed for up to 8 cycles.
- Continuous intravenous infusion of RX-0201 **Initial phase:** Dose-proportioned increase in plasma concentration of RX-0201; **Steady status:** Increase proportionally to dose; **Termination phase:** An apparent mono-phasic or bi-phasic decline. **Between cycles:** No systemic drug residue of RX-0201 from Cycle I was found in the blood before Cycle II started.